

Chifeng Jilong Gold Mining Co., Ltd.

Management Measures for Compliance Supervision

Section I General Provisions

Article 1. In order to further improve the compliance management level and risk prevention ability of Chifeng Jilong Gold Mining Co., Ltd. (hereinafter referred to as “the Company”), smoothen compliance supervision channels, effectively prevent and punish illegal acts, and escort the sustained and sound development of the Company, the Management Measures for Compliance Supervision (hereinafter referred to as “these Management Measures”) are hereby prepared per the *General Rules of Compliance Management*.

Article 2. For these Management Measures, the compliance whistle-blowing and supervision refer to the compliance management activities in which compliance tips and illegal and undisciplined acts are reported to the Compliance Management Department through a letter, email, telephone call or face-to-face complaint. The Compliance Management Department verifies and investigates them.

Article 3. Any employee, business partner or other related party and its personnel have the right to report any suspicious behavior that may involve fraud, coercion, bribery and other misconducts or violate the Company’ s compliance management system.

Article 4. The management of compliance whistle-blowing and supervision shall follow the principles of seeking truth from facts, objectivity, justice, strict confidentiality, rights and interests guarantee, and efficient treatment” .

Article 5. The Compliance Management Department shall, under the leadership of the Compliance Director, specifically manage the compliance whistle-blowing and supervision of the Company.

Section II Whistle-blowing Channels

Article 6. The Company shall set up two offline compliance whistle-blowing channels, i.e., whistle-blowing mailbox and reception window, which receive letters and face-to-face complaints from whistleblowers. An offline whistle-blowing location shall be selected to protect the privacy of whistleblowers and avoid the electronic camera covering the mailbox and window.

Article 7. The Company shall set up two online compliance whistle-blowing channels, i.e., hotline and email, for compliance whistle-blowing and supervision.

Article 8. If the whistleblower chooses to complain in person or report by telephone, the staff of the Compliance Management Department shall inquire deeply into the facts and make a written record. The process can be recorded with the whistleblower's consent.

Article 9. Real-name and anonymous whistle-blowing shall be treated equally.

Section III Initial Verification, Evaluation and Treatment of Compliance Whistle-blowing

Article 10. The Compliance Management Department shall uniformly accept all reported information and preliminarily verify and classify the whistle-blowing according to whether the reported information can be verified:

- (1) If the reported information can be verified, the compliance risks involved in the reported information shall be assessed and reviewed upon approval of the Compliance Director;
- (2) If the reported information cannot be verified, the compliance whistle-blowing and supervision procedures shall be closed upon approval of the Compliance Director;
- (3) If the reported information can be partially verified, but the checking conditions are insufficient, the whistle-blowing shall be temporarily suspended for checking upon approval of the Compliance Director. After the checking conditions are met, it shall be handled per Item (1) of this article. If the checking conditions are still immature upon expiration of three years from the date of receiving the whistle-blowing, it shall be handled per Item (2) of this article upon approval of the Compliance Director.

Article 11. For the matters mentioned in Item (2) of Article 10 hereof, the Compliance Management Department shall summarize relevant information once a year, file it with the Board of Supervisors in writing, and accept the supervision of the Board of Supervisors.

Article 12. After preliminary verification, the compliance risks involved in the reported information shall be assessed and handled per the relevant provisions of the *General Rules of Compliance Management*.

Section IV Reply to Compliance Whistle-blowing

Article 13. In case of real-name whistle-blowing, the Compliance Management Department shall reply to the whistleblower on the verification and treatment results and consciously accept the supervision of the whistleblower on the compliance reporting management.

Article 14. If the reported information is verified as accurate, the Compliance Management Department shall truthfully give a written reply to the whistleblower on the treatment results and fully protect the whistleblower's right to know and supervise.

Article 15. If the reported information is verified as false or cannot be checked, the Compliance Management Department shall orally explain to the whistleblower and listen carefully to the whistleblower's opinions while giving a written reply on the treatment results.

Section V Protection and Reward of Whistleblowers

Article 16. The Company encourages real-name whistle-blowing, and the whistleblower has the right to report anonymously or by real name. In case of real-name whistle-blowing, the Company shall strictly keep confidential the whistleblower's personal information.

Article 17. The Company is obligated to protect whistleblowers' personal and occupational rights from illegal infringement due to their whistle-blowing.

Article 18. The Compliance Management Department shall, from the perspective of protecting whistleblowers, keep confidential the reported information, strictly control

the transmission channels of the reporting materials and the transmission extent of the reported data and adequately keep the reporting materials.

Article 19. Personnel engaged in the management of compliance whistle-blowing and supervision shall withdraw if they have a direct interest in the whistle-blowing content, the whistleblower or the person reported. If the reported information is leaked due to improper work, and the whistleblower is retaliated against or adversely affected, the relevant staff shall be seriously investigated for their responsibility.

Article 20. If a compliance whistle-blowing is verified as accurate and handled, the Company shall give a corresponding amount of cash reward to the real-name whistleblower according to the level of compliance risk involved:

- (1) In case of general compliance risk, the whistleblower will be rewarded with cash of RMB 5,000 to RMB 10,000;
- (2) In case of an important compliance risk, the whistleblower will be rewarded with cash of RMB 10,000 to RMB 50,000;
- (3) In case of a major compliance risk, the whistleblower will be rewarded with cash of RMB 50,000 to RMB 100,000.

Section VI Supplementary Provisions

Article 21. These Management Measures shall be reviewed and approved by the Board of Directors and interpreted by the Compliance Management Department.

Article 22. These Management Measures shall come into force as of the date of issuance.